

Sent via email

[REDACTED]

Information Governance

Christopher Ward, West Pavilion
St Charles Centre for Health & Wellbeing
Exmoor Street
London
W10 6DZ

Our ref: FOI/2016/068

14 June 2016

www.clch.nhs.uk
foi.request@clch.nhs.uk

Dear [REDACTED]

Freedom of Information Act 2000 request: Data Protection Breaches

With reference to your request for information, dated 15 May 2016, made under section 1(1) of the Freedom of Information Act, I am writing to inform you of the outcome of your information request.

You asked the following:

1a. Approximately how many members of staff do you have?

Approximately 3000 members of staff as of the end of April.

1b. Approximately how many contractors have routine access to your information?

(see www.suresite.net/foi.php for clarification of contractors if needed)

Approximately 240.

2a. Do you have an information security incident/event reporting policy/guidance/management document(s) that includes categorisation/classification of such incidents?

Please see attached the Trust's Incident Reporting and Serious Incident Policy and the HSCIC SIRI reporting and Checklist Guidance.

2b. Can you provide me with the information or document(s) referred to in 2a? (This can be an email attachment of the document(s), a link to the document(s) on your publicly facing web site or a 'cut and paste' of the relevant section of these document(s))

Please refer to the attached documents.

3a. Do you know how many data protection incidents your organisation has had since April 2011? (Incidents reported to the Information Commissioners Office (ICO) as a Data Protection Act (DPA) breach)

The Trust has reported a total of 17 data protection incidents to the ICO between the period of 1st April 2011 to 31st March 2015.

3b. How many breaches occurred for each Financial Year the figures are available for?

FY11-12: 1

FY12-13: 1

FY13-14: 7

FY14-15: 8

4a. Do you know how many other information security incidents your organisation has had since April 2011? (A breach resulting in the loss of organisational information other than an incident reported to the ICO, eg compromise of sensitive contracts or encryption by malware.)

All Information Governance (IG) related incidents are logged on Datix the Trust's incident reporting system and processed via the Trust's incident reporting policy. Where the incident meets the HSCIC threshold scoring of 2 or above it is reported on the HSCIC website and the ICO is notified.

All other IG incidents below the HSCIC threshold are managed locally, between the period of 1st April 2011 to 31st March 2015 there was a total of 89 incidents reported which were coded as potential loss of information.

4b. How many incidents occurred for each Financial Year the figures are available for?

FY11-12: 14

FY12-13: 28

FY13-14: 20

FY14-15: 27

5a. Do you know how many information security events/anomaly your organisation has had since April 2011? (Events where information loss did not occur but resources were assigned to investigate or recover, eg nuisance malware or locating misfiled documents.)

After investigations, it was found that 19 out the 89 incidents that were reported between the period of 1st April 2011 to 31st March 2015 did not involve the loss of information.

5b. How many events occurred for each Financial Year the figures are available for?

The numbers below relate to the 19 incidents where information loss did not occur but resources were assigned to investigate.

FY11-12: 7

FY12-13: 4

FY13-14: 6

FY14-15: 2

6a. Do you know how many information security near misses your organisation has had since April 2011? (Problems reported to the information security teams that indicate a possible technical, administrative or procedural issue.)

The Trust has had a total of 19 near misses reported between the period of 1st April 2011 to 31st March 2015.

6b. How many near-misses occurred for each Financial Year the figures are available for?

Please refer to the response provided in 5b.

This completes our response to your request for information. If you are unhappy with our response, please write to us giving your reasons and we will address them. If you remain dissatisfied you are entitled to appeal to the Information Commissioner:

Customer Contact
Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow SK9 5AF
Tel: 0303 123 1113
http://ico.org.uk/concerns/getting/report_concern_foi

Yours sincerely,

Jonathan Walmsley
Information Governance Facilitator
Central London Community Healthcare NHS Trust